

Lecture 12: Properties of taut foliations.

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Last time: \mathcal{F} of M^3 is taut when every leaf meets a clsd transversal.

Thm: Suppose \mathcal{F} is co-orient fol of a clsd orient M^3 .

Then \mathcal{F} is not taut $\iff \exists$ torus leaves T_1, \dots, T_K bounding a submfld V where the co-orient pts into V at each T_i .

Thm: \mathcal{F} co-orient of clsd orient M^3 . Then \mathcal{F} is taut $\iff \exists$ a flow trans. to \mathcal{F} that pres. a vol form.

Note: A "dead end" V obstructs having such a flow.

[Novikov-Rosenberg] Let \mathcal{F} be a taut fol of a clsd orient M^3 . If $M \neq S^2 \times S^1$ or $\mathbb{R}P^3 \# \mathbb{R}P^3$, then

- 1) M is irreducible
- 2) every leaf L is incomp ($\pi_1 L \hookrightarrow \pi_1 M$)
- 3) every clsd transversal is $\neq 1$ in $\pi_1 M$.

Cor: If M has a taut fol, then $\pi_1 M$ is infinite.

Let $\tilde{\mathcal{F}}$ be the fol of the univ. cover \tilde{M} of M .

If $\tilde{M} \neq S^2 \times \mathbb{R}$, then every leaf of $\tilde{\mathcal{F}}$ is a properly emb. plane. [Same as $M \neq S^2 \times S^1, \mathbb{RP}^3 \# \mathbb{RP}^3$.]

Pf of Cor: Let γ be a closed transv. to \mathcal{F} .

Then γ^n can be perturbed to a clsd transv

$\Rightarrow \gamma^n \neq 1$ in $\pi_1 M \Rightarrow |\pi_1 M| = \infty$. By (2)

each leaf of $\tilde{\mathcal{F}}$ is a plane. If $\tilde{L} \hookrightarrow \tilde{M}$ is not proper, \exists a fol chart in \tilde{M} that it meets in at least 2 plaques $\Rightarrow \exists$ a clsd trans $\tilde{\gamma}$ to \tilde{L} .

The image γ of $\tilde{\gamma}$ in M is a clsd trans, so

γ has infinite order in $\pi_1 M$. But then γ can't lift to $\tilde{\gamma}$. ▣

Cor: $S^3, L(p, q)$ have no taut foliations.

Thm: If a clsd orient M^3 contains no incomp. tori, a foliation \mathcal{F} is taut \iff it has no Reeb comp.

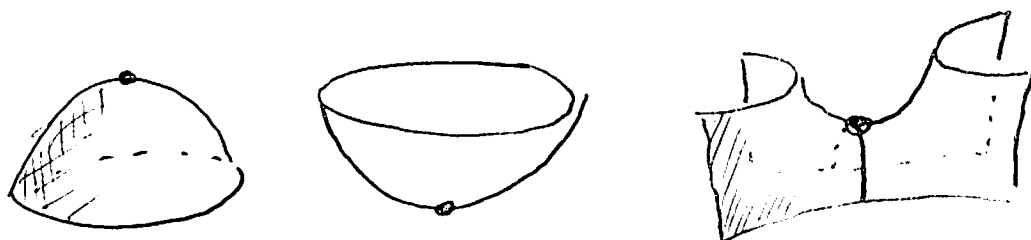
Cor: Every fol of S^3 has a Reeb comp.

[Won't give full proof of N-R's thm, but
will sketch some ideas...]

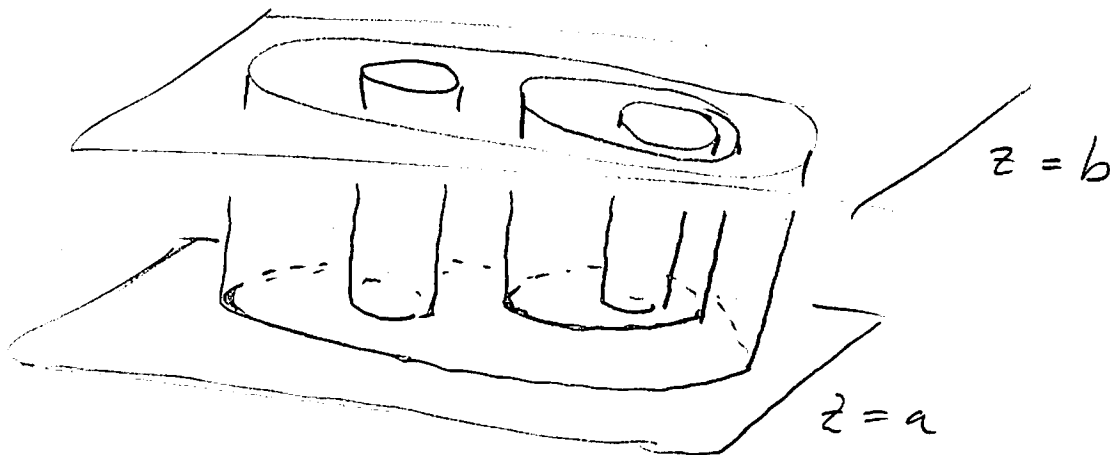
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[Alexander] Every smooth S^2 in \mathbb{R}^3 bounds a ball, i.e. \mathbb{R}^3 is irred.

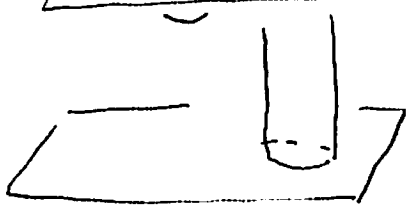
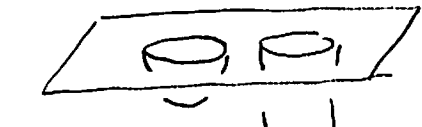
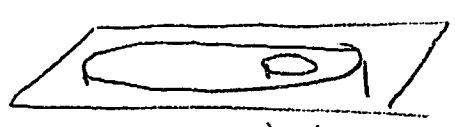
Idea: By perturb, can assume the z -coord restricts
to a Morse fn on $F \cong S^2 \Rightarrow$ finitely many pts
where $T_p F$ is horizontal, each of which is one of



Can assume these are all at diff heights. Set $F^{[a,b]}$
 $= \{(x,y,z) \in F \mid z \in [a,b]\}$. If no crit pt with $z \in [a,b]$,
then $F^{[a,b]}$ consists of "vertical annuli".

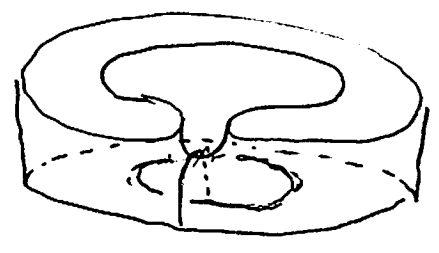
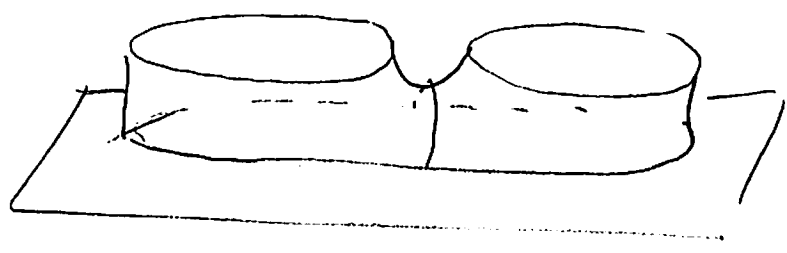
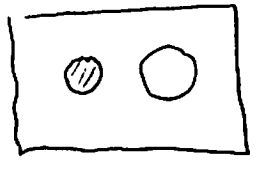
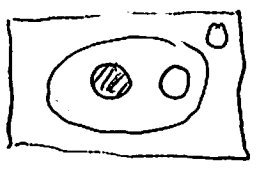


When $\exists!$ crit pt with $z \in [a, b]$ have one of:

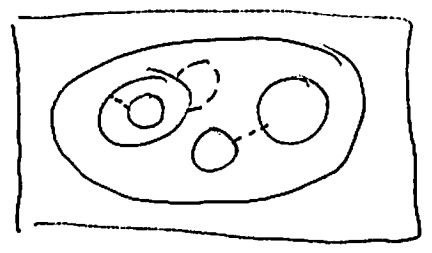


death

birth



saddles

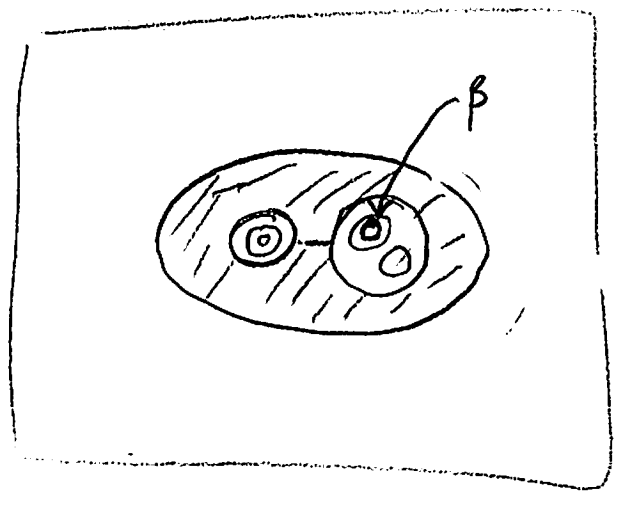


No saddle
=> one min, one max
=> std. S^2

Induct on # of saddles.

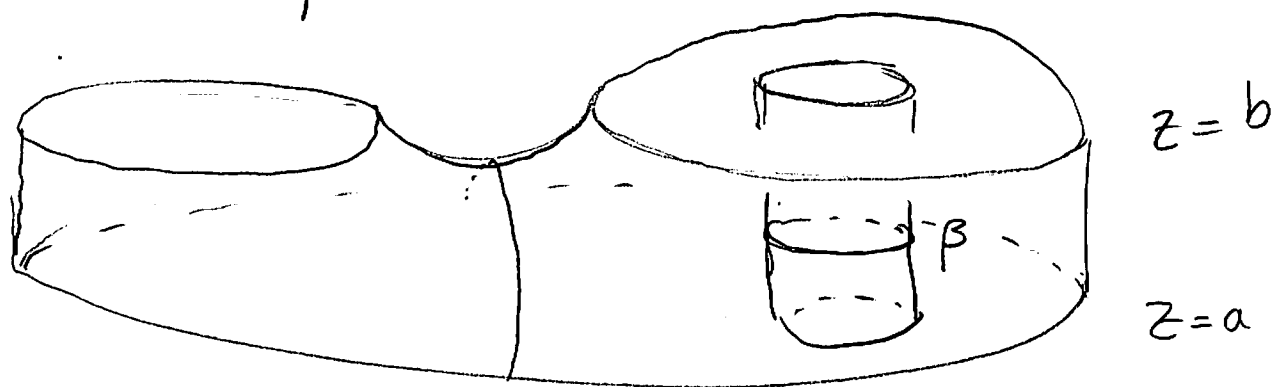
Look at some saddle

Take innermost curve
inside innermost ∂ comp
of the saddle

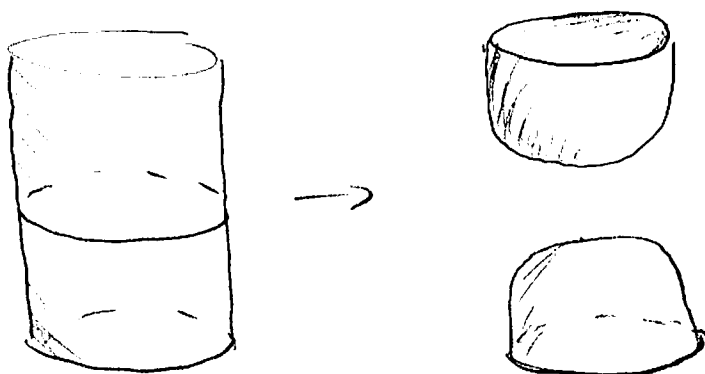


Picture when $\beta \neq \partial \text{saddle}$

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Now surger along β :



This creates two surfaces F', F'' both spheres.

If one of F', F'' has no saddles, isotope F to reduce the $\# \partial F^{[a,b]}$. Otherwise F' and F'' both have fewer saddles, bound balls in \mathbb{R}^3 by induct; use to show F also bounds.

If $\beta \subset \partial \text{saddle}$ then F' or F'' having no saddles gives a local cancellation:

